

# Transverse Single Spin Asymmetries in Proton-Nucleus collisions

Jianwei Qiu  
*Brookhaven National Laboratory*

Based on works done with Arbat, Collins, Kang, Rogers, Vitev, Sterman, Zhang, ...

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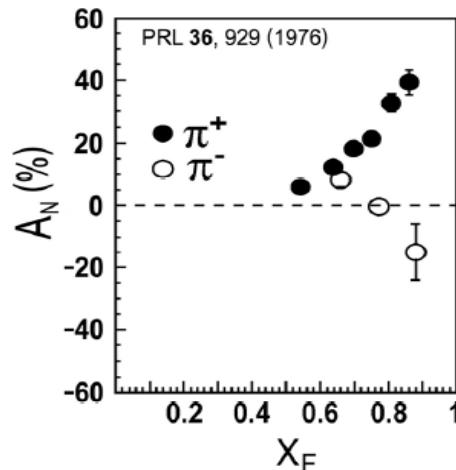
# Outline

- Transverse single spin asymmetry (SSA)
- Do we understand it?
  - ✧ TMD factorization
  - ✧ Collinear factorization
- SSA of single hadron production in hadronic collisions
- SSA in the forward region of pA collisions
- Summary

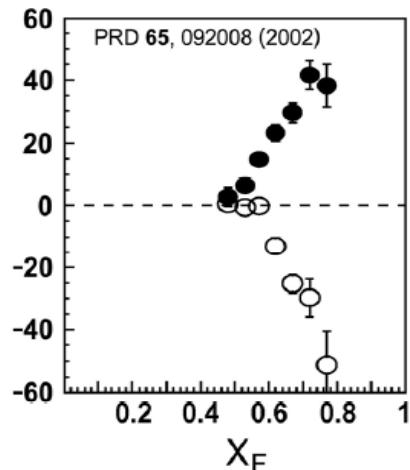
# Transverse single-spin asymmetry (SSA)

- Consistently observed for over 35 years!

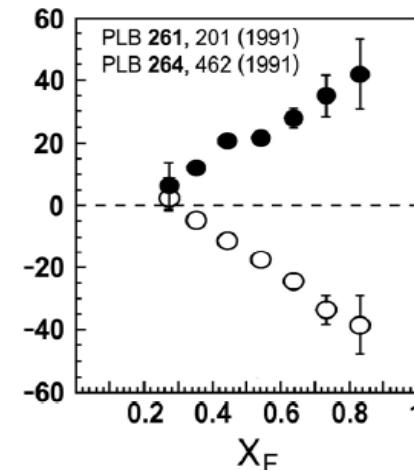
ANL – 4.9 GeV



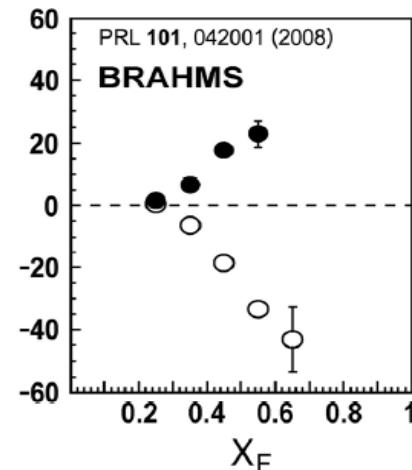
BNL – 6.6 GeV



FNAL – 20 GeV

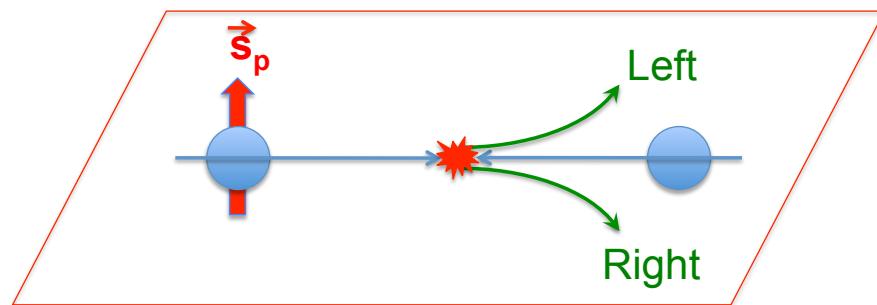


BNL – 62.4 GeV



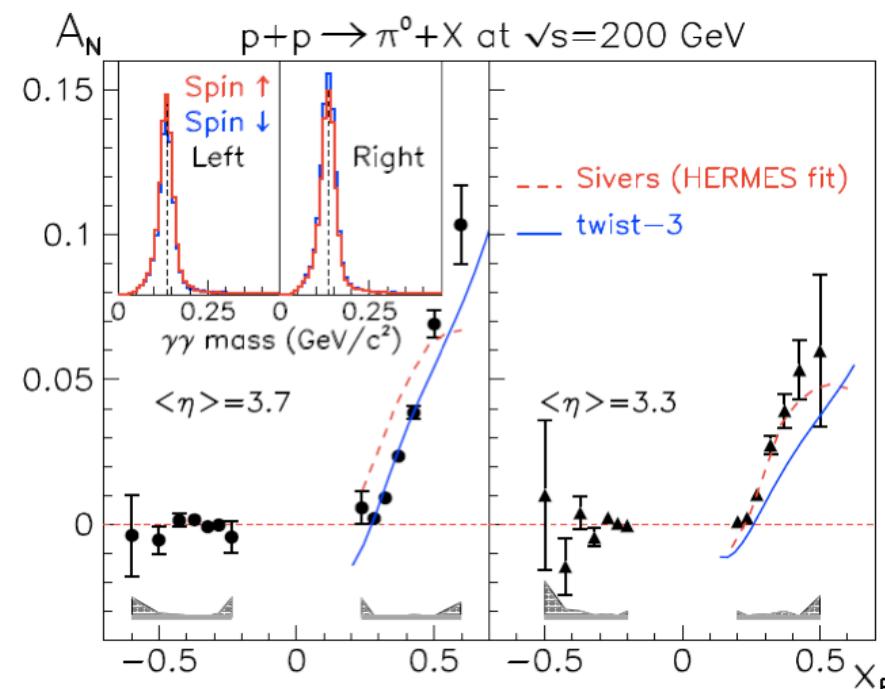
- Definition:

$$p(\vec{s}_\perp) + p \rightarrow h(\pi^\pm, \pi^0, \dots) + X$$



$$A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

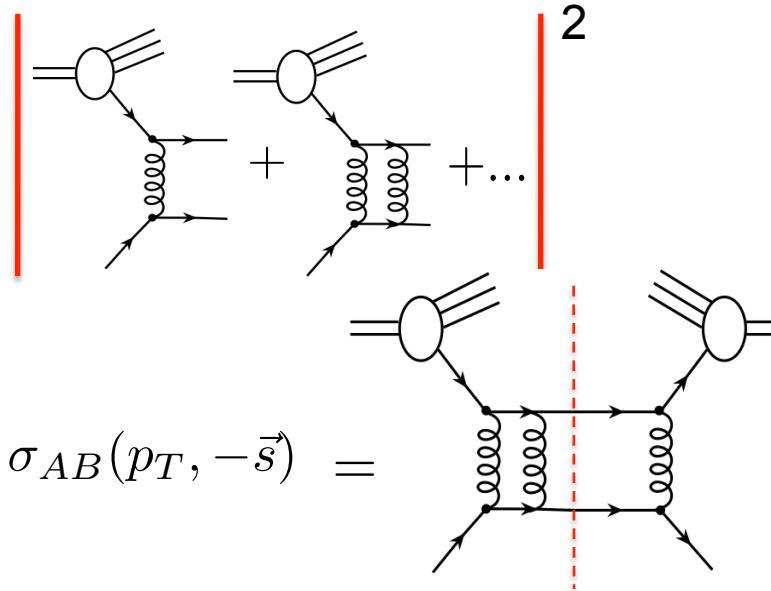
BNL – 200 GeV



# Do we understand it?

## □ Early attempt:

Cross section:  $\sigma_{AB}(p_T, \vec{s}) \propto$



Kane, Pumplin, Repko, PRL, 1978

Asymmetry:

$$\sigma_{AB}(p_T, \vec{s}) - \sigma_{AB}(p_T, -\vec{s}) = \propto \alpha_s \frac{m_q}{p_T}$$

Too small to explain available data!

## □ What do we need?

$$A_N \propto i \vec{s}_p \cdot (\vec{p}_h \times \vec{p}_T) \Rightarrow i \epsilon^{\mu\nu\alpha\beta} p_{h\mu} s_\nu p_\alpha p'_{h\beta}$$

Need a phase, a spin flip, enough vectors

## □ Vanish without parton's transverse motion:

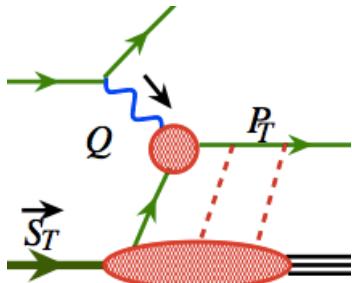


A direct probe for parton's transverse motion,

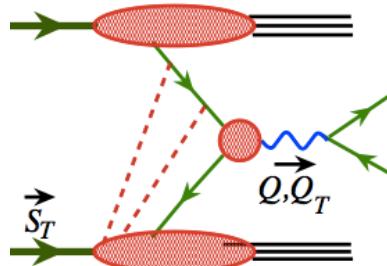
Spin-orbital correlation, QCD quantum interference

# Current understanding of SSAs

- Two scales observables –  $Q_1 \gg Q_2 \sim \Lambda_{\text{QCD}}$ :



SIDIS:  $Q \gg P_T$



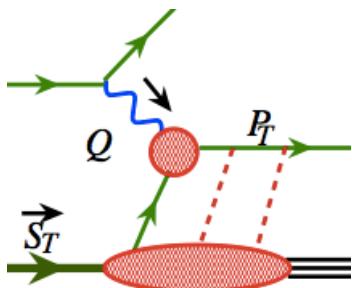
DY:  $Q \gg Q_T$



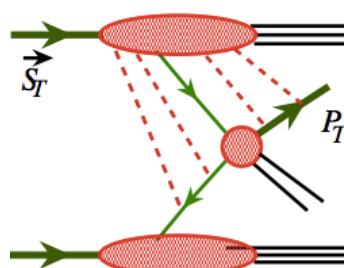
TMD factorization  
TMD distributions

Talks by Mulders, Yuan, ...

- One scale observables –  $Q \gg \Lambda_{\text{QCD}}$ :



SIDIS:  $Q \sim P_T$

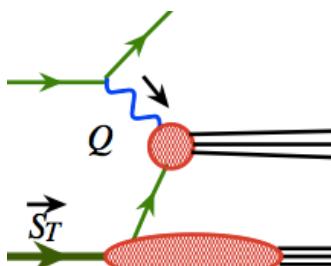


Jet, Particle:  $P_T$



Collinear factorization  
Twist-3 distributions

- Symmetry plays important role:



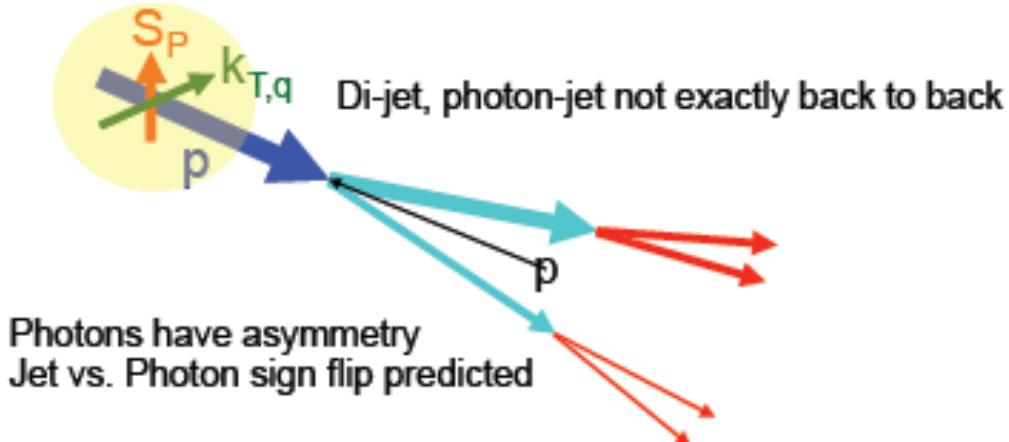
Inclusive DIS  
Single scale  
 $Q$

Parity  
Time-reversal

$\rightarrow A_N = 0$

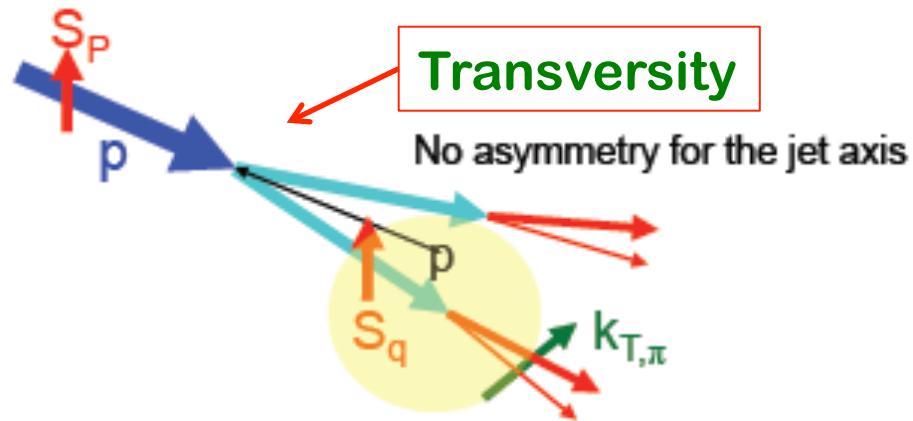
# How TMDs generate spin asymmetry?

## □ Sivers' effect – Sivers' function:



Hadron spin influences  
parton's transverse motion

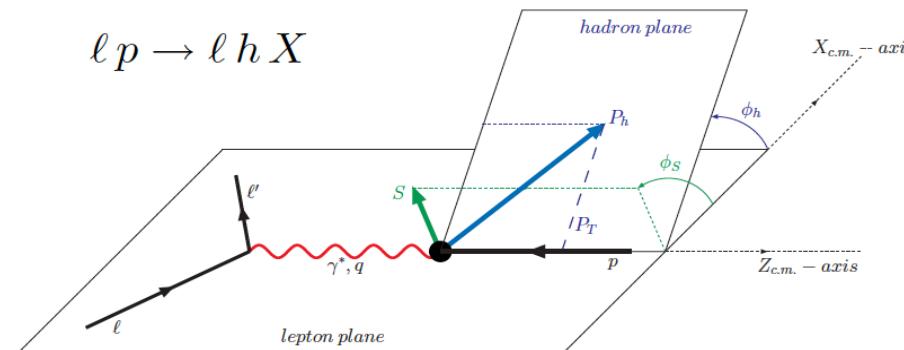
## □ Collin's effect – Collin's function:



Parton's transverse spin  
affects its hadronization

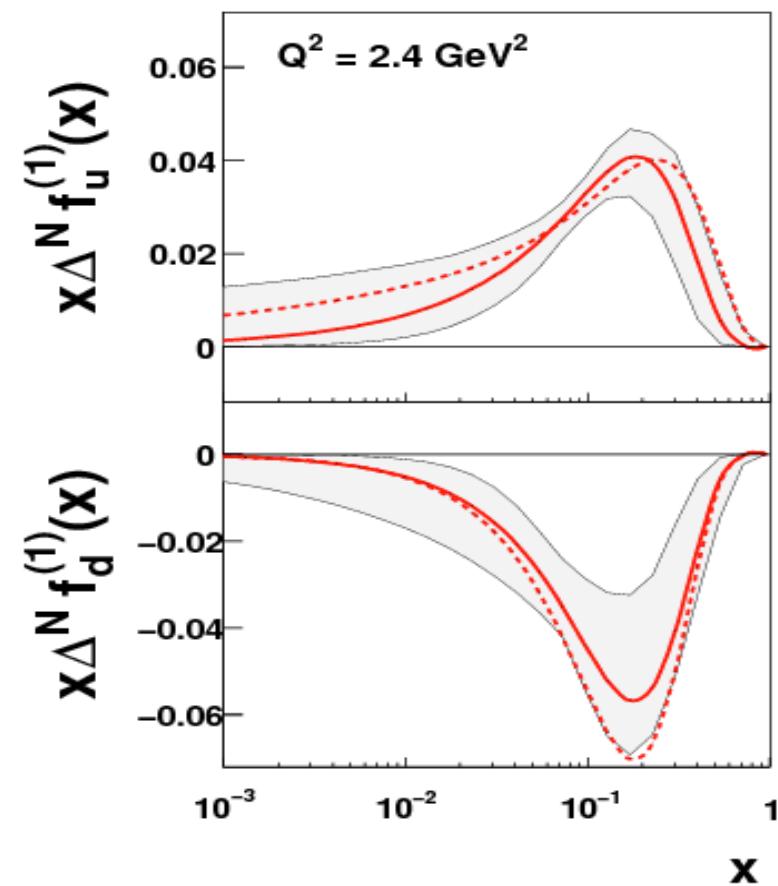
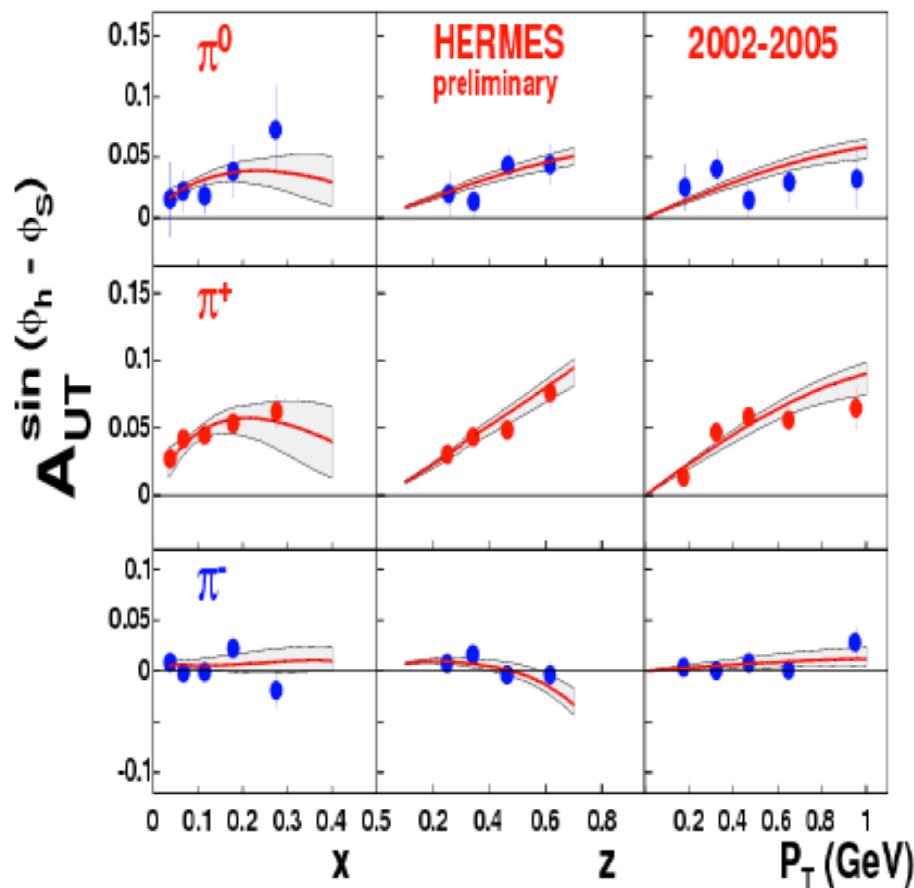
## □ Separation of different effects?

Best in SIDIS, at EIC



# Our knowledge of TMDs

## □ Sivers function from low energy SIDIS:



EIC can do much better job in extracting TMDs

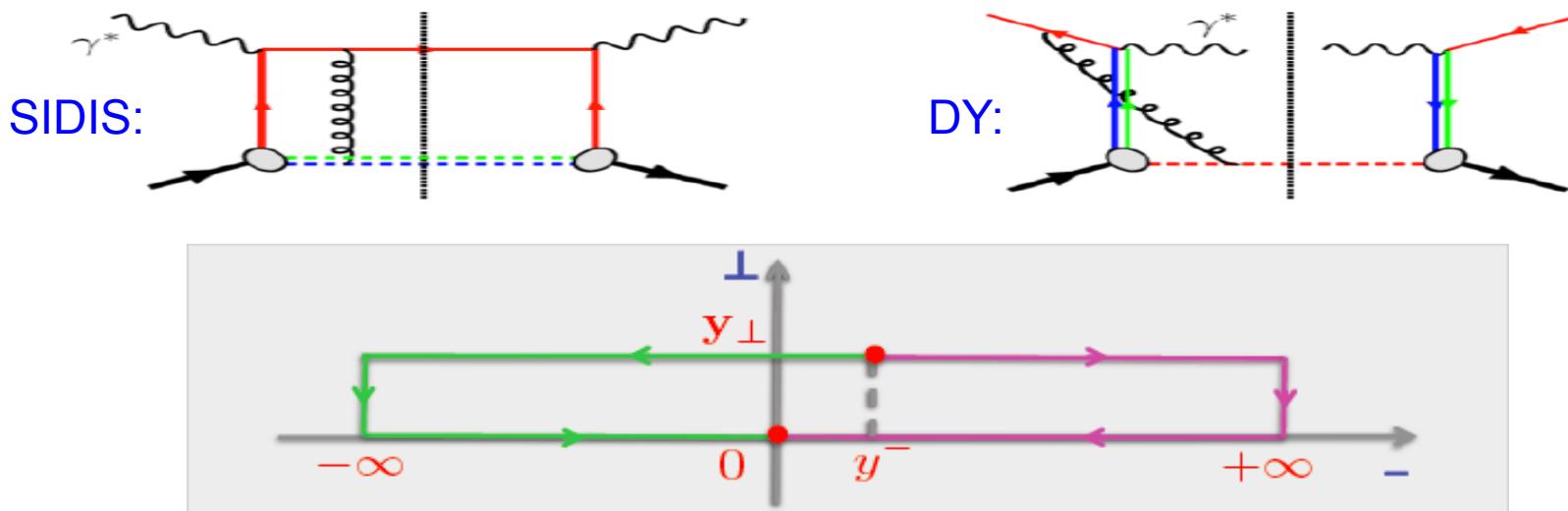
## □ NO TMD factorization for hadron production in p+p collisions!

Collins and Qiu, 2007, Vogelsang and Yuan, 2007, Mulders and Rogers, 2010, ...

# Critical test of TMD factorization

## □ TMD distributions with non-local gauge links:

$$f_{q/h^\dagger}(x, \mathbf{k}_\perp, \vec{S}) = \int \frac{dy^- d^2 y_\perp}{(2\pi)^3} e^{ixp^+ y^- - i\mathbf{k}_\perp \cdot \mathbf{y}_\perp} \langle p, \vec{S} | \bar{\psi}(0^-, \mathbf{0}_\perp) \text{ Gauge link } \frac{\gamma^+}{2} \psi(y^-, \mathbf{y}_\perp) | p, \vec{S} \rangle$$



- For a fixed spin state:

$$f_{q/h^\dagger}^{\text{SIDIS}}(x, \mathbf{k}_\perp, \vec{S}) \neq f_{q/h^\dagger}^{\text{DY}}(x, \mathbf{k}_\perp, \vec{S})$$

## □ Parity + Time-reversal invariance:

$$\rightarrow f_{q/h^\dagger}^{\text{Sivers}}(x, k_\perp)^{\text{SIDIS}} = -f_{q/h^\dagger}^{\text{Sivers}}(x, k_\perp)^{\text{DY}}$$

The sign change is a critical test of TMD factorization approach

# Another critical test of TMD factorization

## □ Predictive power of QCD factorization:

- ✧ Infrared safety of short-distance hard parts
- ✧ Universality of the long-distance matrix elements
- ✧ QCD evolution or scale dependence of the matrix elements

## □ QCD evolution:

If there is a factorization/invariance, there is an evolution equation

## □ Collinear factorization – DGLAP evolution:

$$\sigma_{\text{phy}}(Q, \Lambda_{\text{QCD}}) \approx \sum_f \hat{\sigma}_f(Q, \mu) \otimes \phi_f(\mu, \Lambda_{\text{QCD}}) \rightarrow \frac{d}{d\mu} \sigma_{\text{phy}}(Q, \Lambda_{\text{QCD}}) = 0$$

Scaling violation of nonperturbative functions

Evolution kernels are perturbative – a test of QCD

# Evolution equations for TMDs

## □ Collins-Soper equation:

– b-space quark TMD with  $\gamma^+$

Boer, 2001, 2009, Idilbi, et al, 2004  
 Aybat, Rogers, 2010  
 Kang, Xiao, Yuan, 2011  
 Aybat, Collins, Qiu, Rogers, 2011

$$\frac{\partial \tilde{F}_{f/P^\dagger}(x, b_T, S; \mu; \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu) \tilde{F}_{f/P^\dagger}(x, b_T, S; \mu; \zeta_F) \quad \tilde{K}(b_T; \mu) = \frac{1}{2} \frac{\partial}{\partial y_s} \ln \left( \frac{\tilde{S}(b_T; y_s, -\infty)}{\tilde{S}(b_T; +\infty, y_s)} \right)$$

## □ RG equations:

$$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu)) \quad \frac{d\tilde{F}_{f/P^\dagger}(x, b_T, S; \mu; \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F/\mu^2) \tilde{F}_{f/P^\dagger}(x, b_T, S; \mu; \zeta_F).$$

## □ Evolution equations for Sivers function:

$$F_{f/P^\dagger}(x, k_T, S; \mu, \zeta_F) = F_{f/P}(x, k_T; \mu, \zeta_F) - F_{1T}^{\perp f}(x, k_T; \mu, \zeta_F) \frac{\epsilon_{ij} k_T^i S^j}{M_p}$$

**CS:**  $\frac{\partial \ln \tilde{F}_{1T}^{\prime \perp f}(x, b_T; \mu, \zeta_F)}{\partial \ln \sqrt{\zeta_F}} = \tilde{K}(b_T; \mu) \quad \tilde{F}_{1T}^{\prime \perp f}(x, b_T; \mu, \zeta_F) \equiv \frac{\partial \tilde{F}_{1T}^{\perp f}(x, b_T; \mu, \zeta_F)}{\partial b_T}$

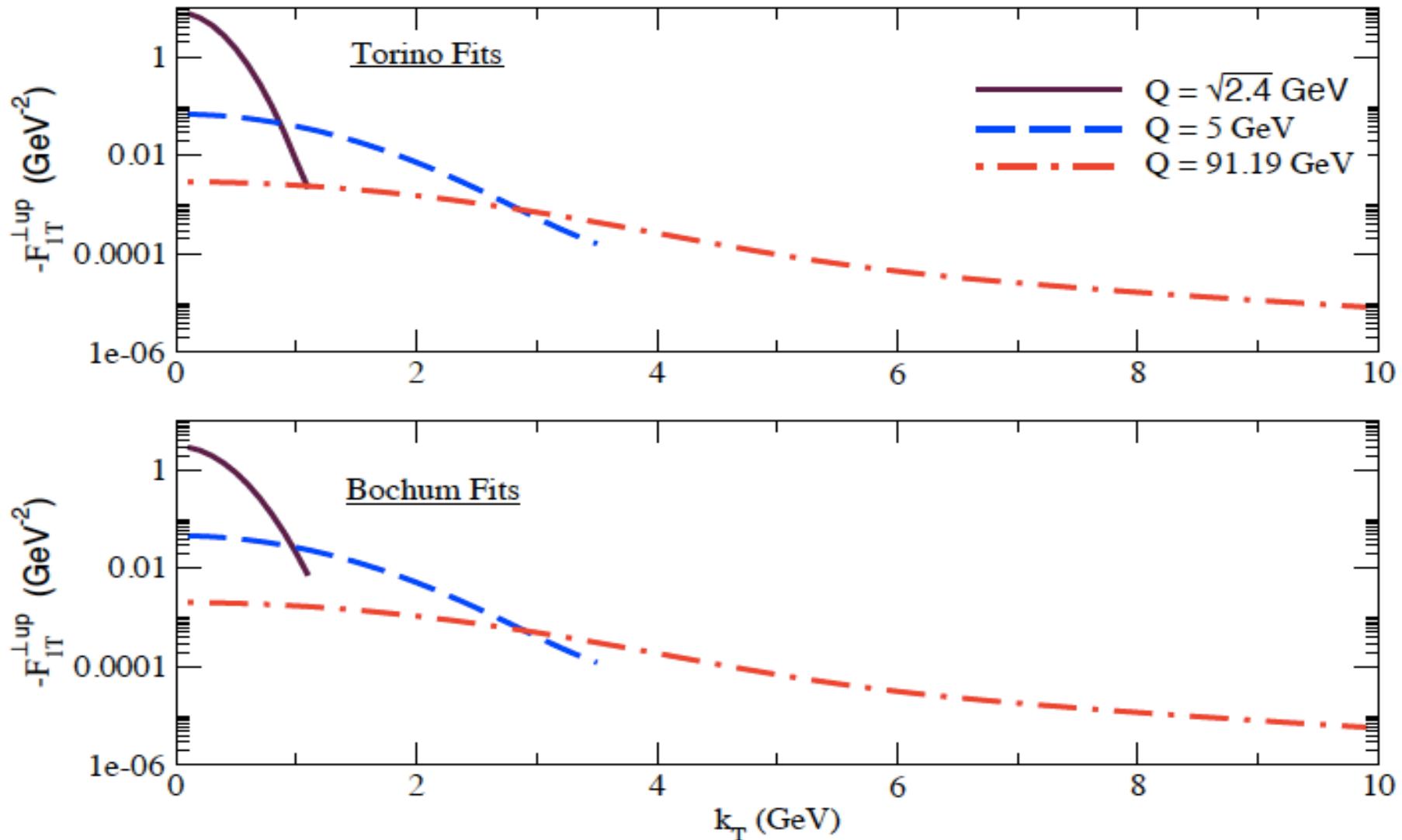
**RGs:**  $\frac{d\tilde{F}_{1T}^{\prime \perp f}(x, b_T; \mu, \zeta_F)}{d \ln \mu} = \gamma_F(g(\mu); \zeta_F/\mu^2) \tilde{F}_{1T}^{\prime \perp f}(x, b_T; \mu, \zeta_F)$

$\frac{d\tilde{K}(b_T; \mu)}{d \ln \mu} = -\gamma_K(g(\mu)) \quad \rightarrow \quad \frac{\partial \gamma_F(g(\mu); \zeta_F/\mu^2)}{\partial \ln \sqrt{\zeta_F}} = -\gamma_K(g(\mu)),$

# Scale dependence of Sivers function

Aybat, Collins, Qiu, Rogers, 2011

□ Up quark Sivers function:

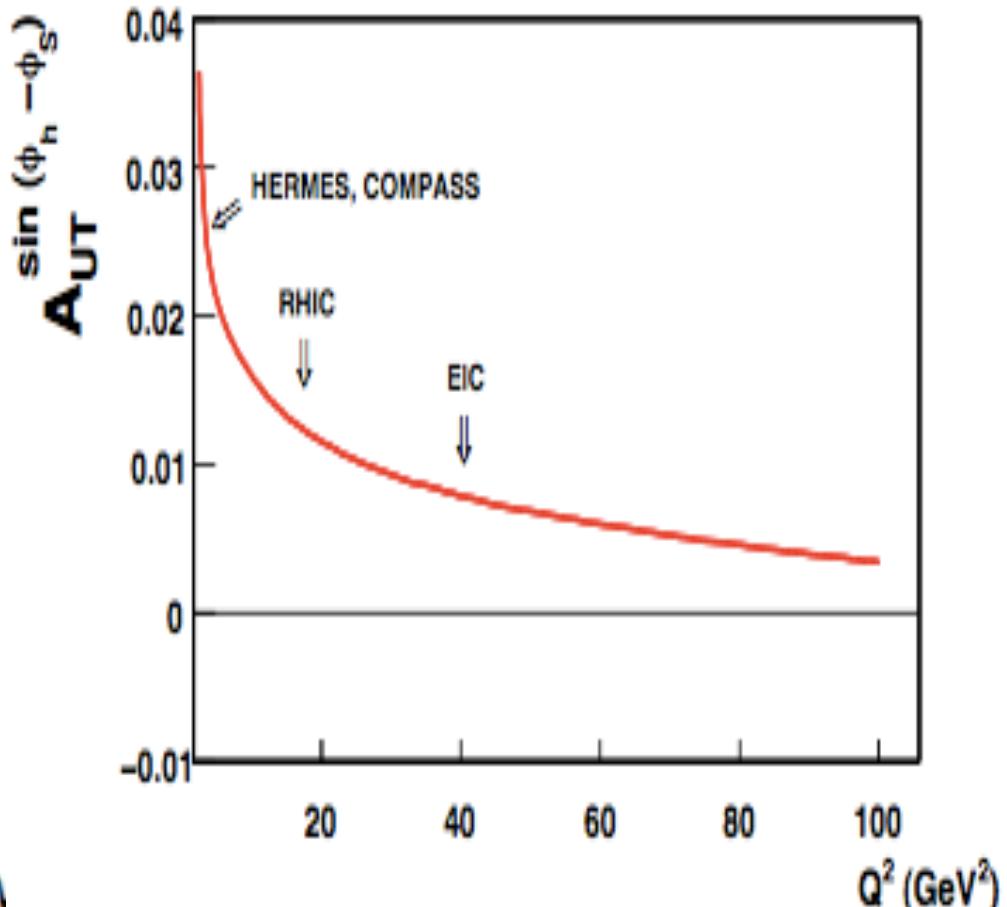
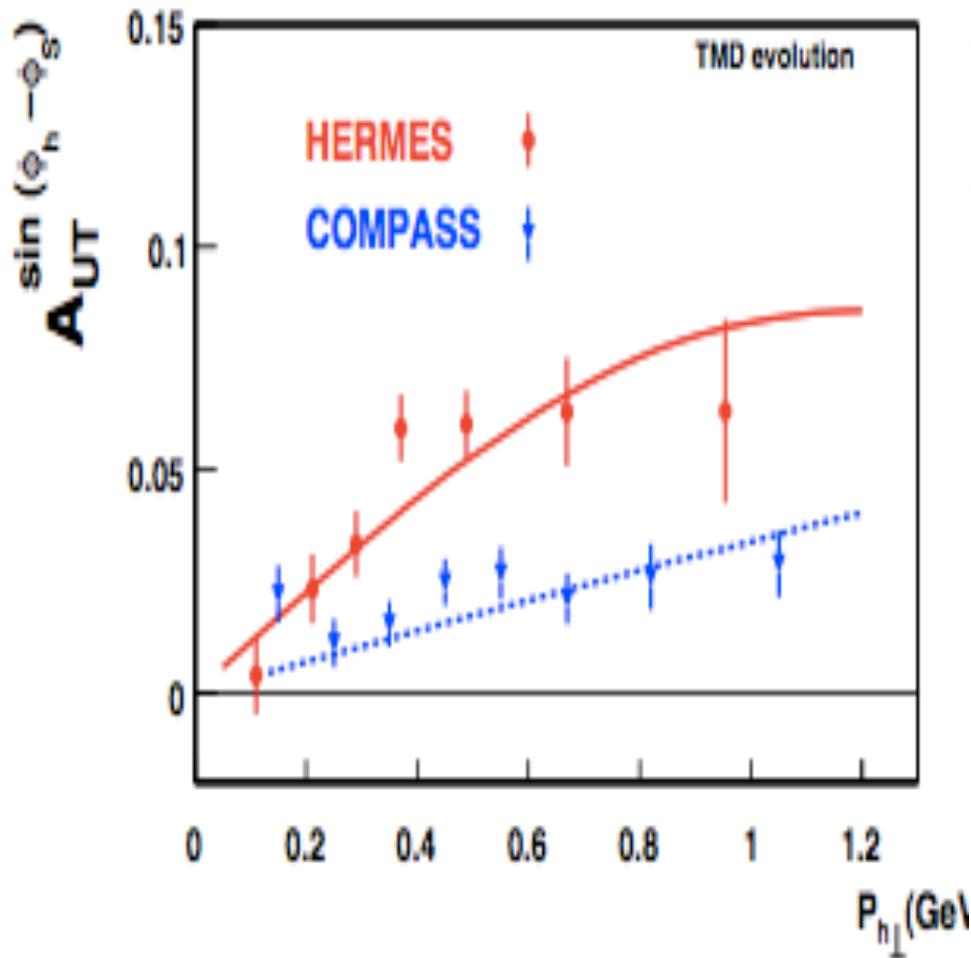


Very significant growth in the width of transverse momentum

# Importance of the evolution

## □ SSAs – Sivers function:

Aybat, Rogers, 2012



$Q^2$  dependence – effectiveness of the probe?

# How collinear factorization generates SSA?

## □ Collinear factorization beyond leading power:

$$\sigma(Q, \vec{s}) \propto \left| \begin{array}{c} p, \vec{s} \\ k \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right| + \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right| + \left| \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \right| + \dots \right|^{2 \left( \frac{\langle k_{\perp} \rangle}{Q} \right)^n} - \text{Expansion}$$

$\sigma(Q, s_T) = H_0 \otimes f_2 \otimes f_2 + (1/Q) H_1 \otimes f_2 \otimes f_3 + \mathcal{O}(1/Q^2)$

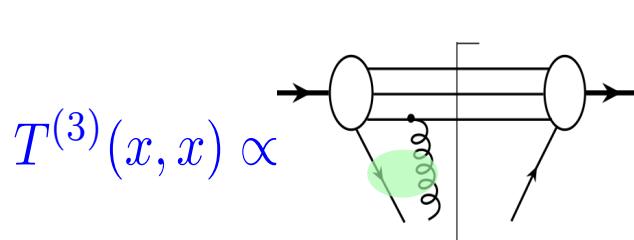
Too large to compete!

Three-parton correlation

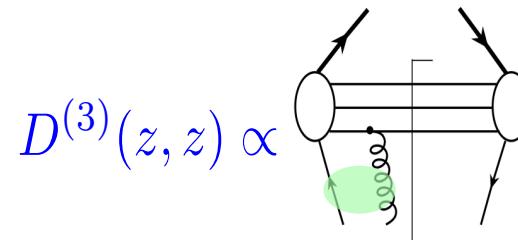
## □ Single transverse spin asymmetry:

Efremov, Teryaev, 82;  
Qiu, Sterman, 91, etc.

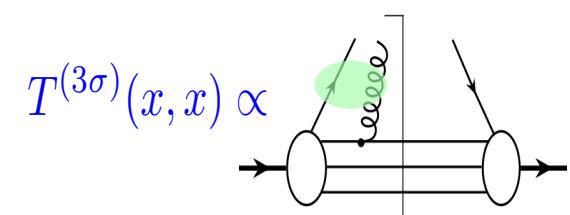
$$\Delta\sigma(s_T) \propto T^{(3)}(x, x) \otimes \hat{\sigma}_T \otimes D(z) + \delta q(x) \otimes \hat{\sigma}_D \otimes D^{(3)}(z, z) + \dots$$



Qiu, Sterman, 1991, ...



Kang, Yuan, Zhou, 2010



Kanazawa, Koike, 2000

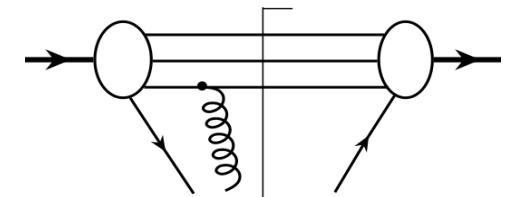
Integrated information on parton's transverse motion!

# Twist-3 distributions relevant to $A_N$

## □ Two-sets Twist-3 correlation functions:

No probability interpretation!

$$\tilde{T}_{q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+}{2} [\epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$



Kang, Qiu, 2009

$$\tilde{T}_{G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [\epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (-g_{\rho\lambda})$$

$$\tilde{T}_{\Delta q,F} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \langle P, s_T | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} [i s_T^\sigma F_\sigma^+(y_2^-)] \psi_q(y_1^-) | P, s_T \rangle$$

$$\tilde{T}_{\Delta G,F}^{(f,d)} = \int \frac{dy_1^- dy_2^-}{(2\pi)^2} e^{ixP^+ y_1^-} e^{ix_2 P^+ y_2^-} \frac{1}{P^+} \langle P, s_T | F^{+\rho}(0) [i s_T^\sigma F_\sigma^+(y_2^-)] F^{+\lambda}(y_1^-) | P, s_T \rangle (i \epsilon_{\perp\rho\lambda})$$

## □ Twist-2 distributions:

▪ Unpolarized PDFs:

Role of color magnetic force!

$$q(x) \propto \langle P | \bar{\psi}_q(0) \frac{\gamma^+}{2} \psi_q(y) | P \rangle$$

$$G(x) \propto \langle P | F^{+\mu}(0) F^{+\nu}(y) | P \rangle (-g_{\mu\nu})$$

$$\Delta q(x) \propto \langle P, S_\parallel | \bar{\psi}_q(0) \frac{\gamma^+ \gamma^5}{2} \psi_q(y) | P, S_\parallel \rangle$$

$$\Delta G(x) \propto \langle P, S_\parallel | F^{+\mu}(0) F^{+\nu}(y) | P, S_\parallel \rangle (i \epsilon_{\perp\mu\nu})$$

## □ Twist-3 fragmentation functions:

See Kang, Yuan, Zhou, 2010, Kang 2010

# Test QCD at twist-3 level

Kang, Qiu, 2009; Yuan, Zhou, 2009  
 Vogelsang, Yuan, 2009, Braun et al, 2009

## □ Scaling violation – “DGLAP” evolution:

$$\mu_F^2 \frac{\partial}{\partial \mu_F^2} \begin{bmatrix} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{bmatrix} = \begin{bmatrix} K_{qq} & K_{q\Delta q} & K_{qG}^{(f)} & K_{qG}^{(d)} & K_{q\Delta G}^{(f)} & K_{q\Delta G}^{(d)} \\ K_{\Delta qq} & K_{\Delta q\Delta q} & K_{\Delta qG}^{(f)} & K_{\Delta qG}^{(d)} & K_{\Delta q\Delta G}^{(f)} & K_{\Delta q\Delta G}^{(d)} \\ K_{Gq}^{(f)} & K_{G\Delta q}^{(f)} & K_{GG}^{(ff)} & K_{GG}^{(fd)} & K_{G\Delta G}^{(ff)} & K_{G\Delta G}^{(fd)} \\ K_{Gq}^{(d)} & K_{G\Delta q}^{(d)} & K_{GG}^{(df)} & K_{GG}^{(dd)} & K_{G\Delta G}^{(df)} & K_{G\Delta G}^{(dd)} \\ K_{\Delta Gq}^{(f)} & K_{\Delta G\Delta q}^{(f)} & K_{\Delta GG}^{(ff)} & K_{\Delta GG}^{(fd)} & K_{\Delta G\Delta G}^{(ff)} & K_{\Delta G\Delta G}^{(fd)} \\ K_{\Delta Gq}^{(d)} & K_{\Delta G\Delta q}^{(d)} & K_{\Delta GG}^{(df)} & K_{\Delta GG}^{(dd)} & K_{\Delta G\Delta G}^{(df)} & K_{\Delta G\Delta G}^{(dd)} \end{bmatrix} \otimes \begin{bmatrix} \tilde{T}_{q,F} \\ \tilde{T}_{\Delta q,F} \\ \tilde{T}_{G,F}^{(f)} \\ \tilde{T}_{G,F}^{(d)} \\ \tilde{T}_{\Delta G,F}^{(f)} \\ \tilde{T}_{\Delta G,F}^{(d)} \end{bmatrix}$$

$(x, x + x_2, \mu, s_T)$        $(\xi, \xi + \xi_2; x, x + x_2, \alpha_s)$        $\int d\xi \int d\xi_2$

## □ Evolution equation – consequence of factorization:

**Factorization:**  $\Delta\sigma(Q, s_T) = (1/Q)H_1(Q/\mu_F, \alpha_s) \otimes f_2(\mu_F) \otimes f_3(\mu_F)$

**DGLAP for  $f_2$ :**  $\frac{\partial}{\partial \ln(\mu_F)} f_2(\mu_F) = P_2 \otimes f_2(\mu_F)$

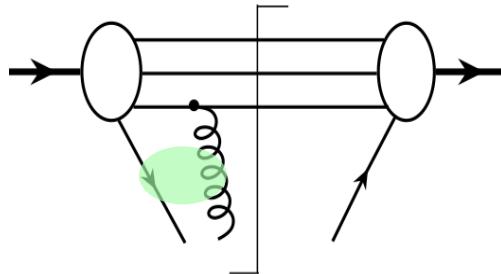
**Evolution for  $f_3$ :**  $\frac{\partial}{\partial \ln(\mu_F)} f_3 = \left( \frac{\partial}{\partial \ln(\mu_F)} H_1^{(1)} - P_2^{(1)} \right) \otimes f_3$

# “Interpretation” of twist-3 correlation functions

## □ Measurement of direct QCD quantum interference:

Qiu, Sterman, 1991, ...

$$T^{(3)}(x, x, S_{\perp}) \propto$$



Interference between a single active parton state and an active two-parton composite state

## □ “Expectation value” of QCD operators:

$$\langle P, s | \bar{\psi}(0) \gamma^+ \psi(y^-) | P, s \rangle \quad \rightarrow \quad \langle P, s | \bar{\psi}(0) \gamma^+ \left[ \epsilon_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

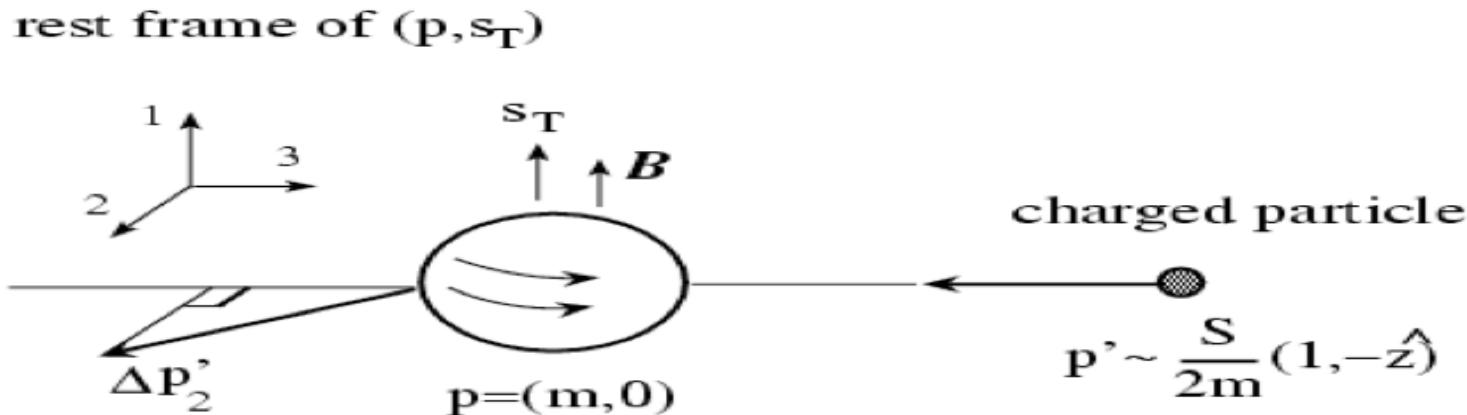
$$\langle P, s | \bar{\psi}(0) \gamma^+ \gamma_5 \psi(y^-) | P, s \rangle \quad \rightarrow \quad \langle P, s | \bar{\psi}(0) \gamma^+ \left[ i g_{\perp}^{\alpha\beta} s_{T\alpha} \int dy_2^- F_{\beta}^+(y_2^-) \right] \psi(y^-) | P, s \rangle$$

How to interpret the “expectation value” of the operators in RED?

# A simple example

- The operator in Red – a classical Abelian case:

Qiu, Sterman, 1998



- Change of transverse momentum:

$$\frac{d}{dt} p'_2 = e(\vec{v}' \times \vec{B})_2 = -ev_3 B_1 = ev_3 F_{23}$$

- In the c.m. frame:

$$(m, \vec{0}) \rightarrow \bar{n} = (1, 0, 0_T), \quad (1, -\hat{z}) \rightarrow n = (0, 1, 0_T)$$
$$\implies \frac{d}{dt} p'_2 = e \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+$$

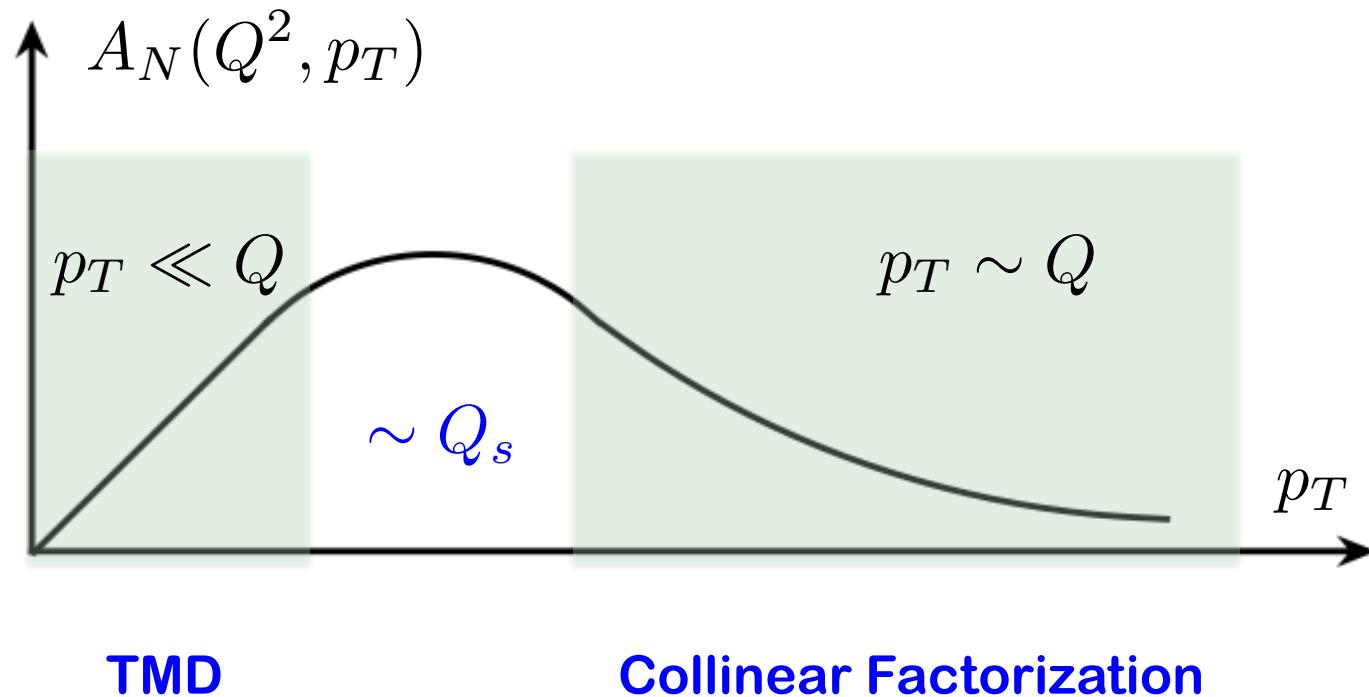
- The total change:

$$\boxed{\Delta p'_2 = e \int dy^- \epsilon^{s_T \sigma n \bar{n}} F_\sigma^+(y^-)}$$

Net quark transverse momentum imbalance caused by color Lorentz force inside a transversely polarized proton

# Transition from low $p_T$ to high $p_T$

- Two-scale becomes one-scale:



- TMD factorization to collinear factorization:

Ji, Qiu, Vogelsang, Yuan,  
Koike, Vogelsang, Yuan

Two factorization are consistent in the overlap region:  $\Lambda_{\text{QCD}} \ll p_T \ll Q$

$A_N$  finite – requires correlation of multiple collinear partons

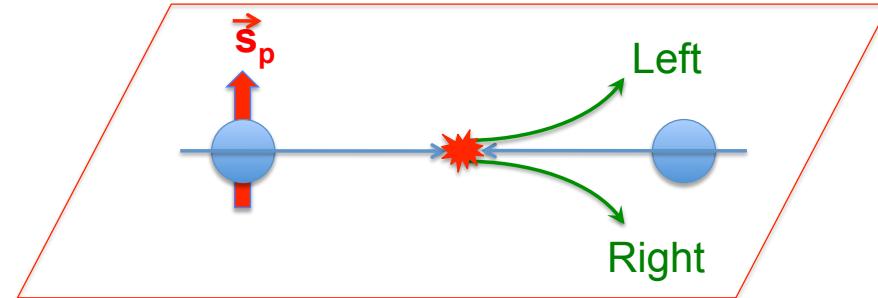
No probability interpretation! New opportunities!

# Single hadron production in hadronic collisions

## □ Process:

$$p(\vec{s}_\perp) + p \rightarrow h(\pi^\pm, \pi^0, \dots) + X$$

$$A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$



## □ Single hard scale – collinear factorization:

Qiu, Sterman, 1991, 1998

$$A_N \propto \sigma(p_T, S_\perp) - \sigma(p_T, -S_\perp)$$

$$= T_{a/A}^{(3)}(x, x, S_\perp) \otimes \phi_{b/B}(x') \otimes \hat{\sigma}_{ab \rightarrow c}^T \otimes D_{h/c}(z)$$

Efremov, Teryaev,  
Qiu, Sterman, ...

$$+ \delta q_{a/A}(x, S_\perp) \otimes T_{b/B}^{(3\sigma)}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^\phi \otimes D_{h/c}(z)$$

Kanazawa, Koike, ...

$$+ \delta q_{a/A}(x, S_\perp) \otimes \phi_{b/B}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^D \otimes D_{h/c}^{(3)}(z, z)$$

Kang, Yuan, Zhou, ...

+ power corrections

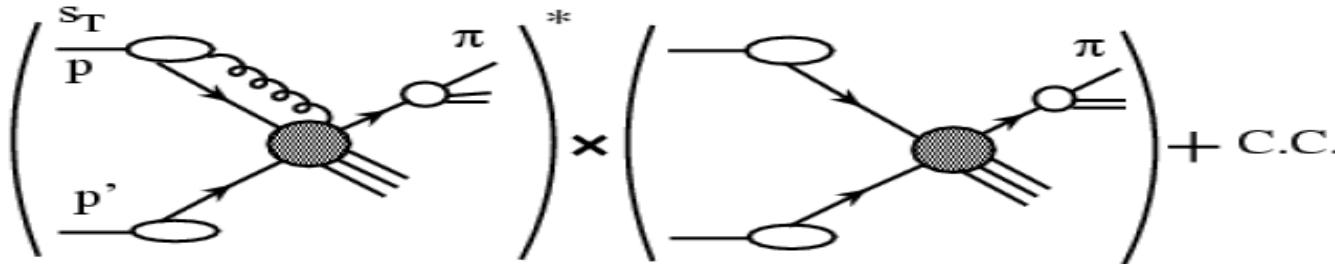
Leading power contribution to cross section cancels!

Only one twist-3 distribution at each term!

Valid when  $p_T^2 \gg Q_s^2$ , Corrections:  $\propto \left(\frac{Q_2^2}{p_T^2}\right)^n$

# SSAs generated by twist-3 PDFs

- First non-vanish contribution – interference:



- Dominated by the derivative term – forward region:

$$E \frac{d\Delta\sigma}{d^3\ell} \propto \epsilon^{\ell_T s_T n \bar{n}} D_{c \rightarrow \pi}(z) \otimes \left[ -x \frac{\partial}{\partial x} T_F(x, x) \right]$$

Qiu, Sterman, 1998, ...

$$\otimes \frac{1}{-\hat{u}} \left[ G(x') \otimes \Delta\hat{\sigma}_{qg \rightarrow c} + \sum_{q'} q'(x') \otimes \Delta\hat{\sigma}_{qq' \rightarrow c} \right]$$

$$A_N \propto \left( \frac{\ell_\perp}{-\hat{u}} \right) \frac{n}{1-x} \quad \text{if } T_F(x, x) \propto q(x) \propto (1-x)^n$$

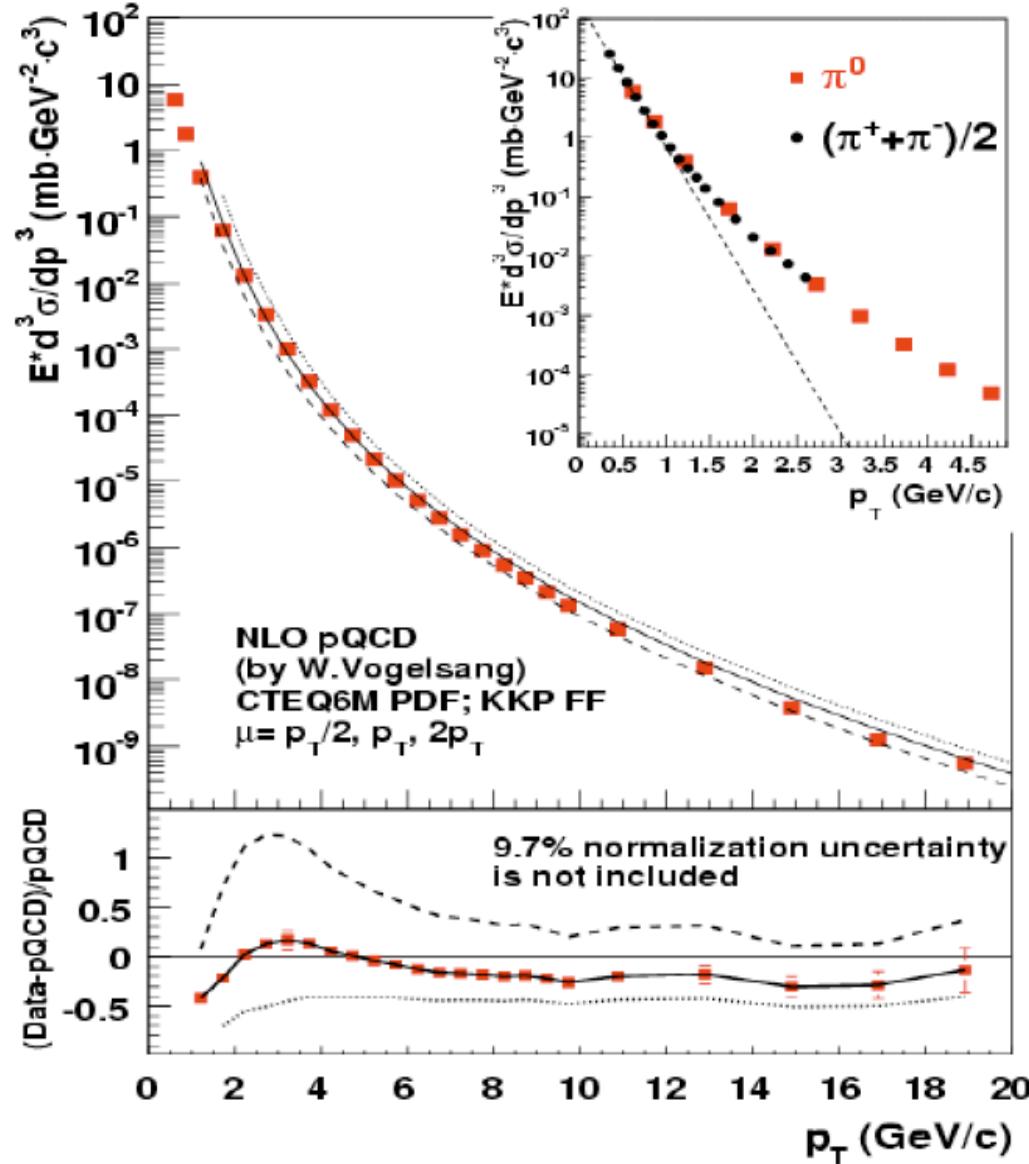
Kouvaris, Qiu,  
Vogelsang, Yuan, 2006

- Complete leading order contribution:

$$\begin{aligned} E_\ell \frac{d^3 \Delta\sigma(\vec{s}_T)}{d^3\ell} &= \frac{\alpha_s^2}{S} \sum_{a,b,c} \int_{z_{\min}}^1 \frac{dz}{z^2} D_{c \rightarrow h}(z) \int_{x'_{\min}}^1 \frac{dx'}{x'} \frac{1}{x'S + T/z} \phi_{b/B}(x') \sqrt{4\pi\alpha_s} \left( \frac{\epsilon^{\ell_T s_T n \bar{n}}}{z\hat{u}} \right) \\ &\times \frac{1}{x} \left[ T_{a,F}(x, x) - x \left( \frac{d}{dx} T_{a,F}(x, x) \right) \right] H_{ab \rightarrow c}(\hat{s}, \hat{t}, \hat{u}) \end{aligned}$$

# Unpolarized single hadron production

□ PHENIX:



PRD76, 051106  
(2007)

QCD factorization/calculation works at RHIC energies!

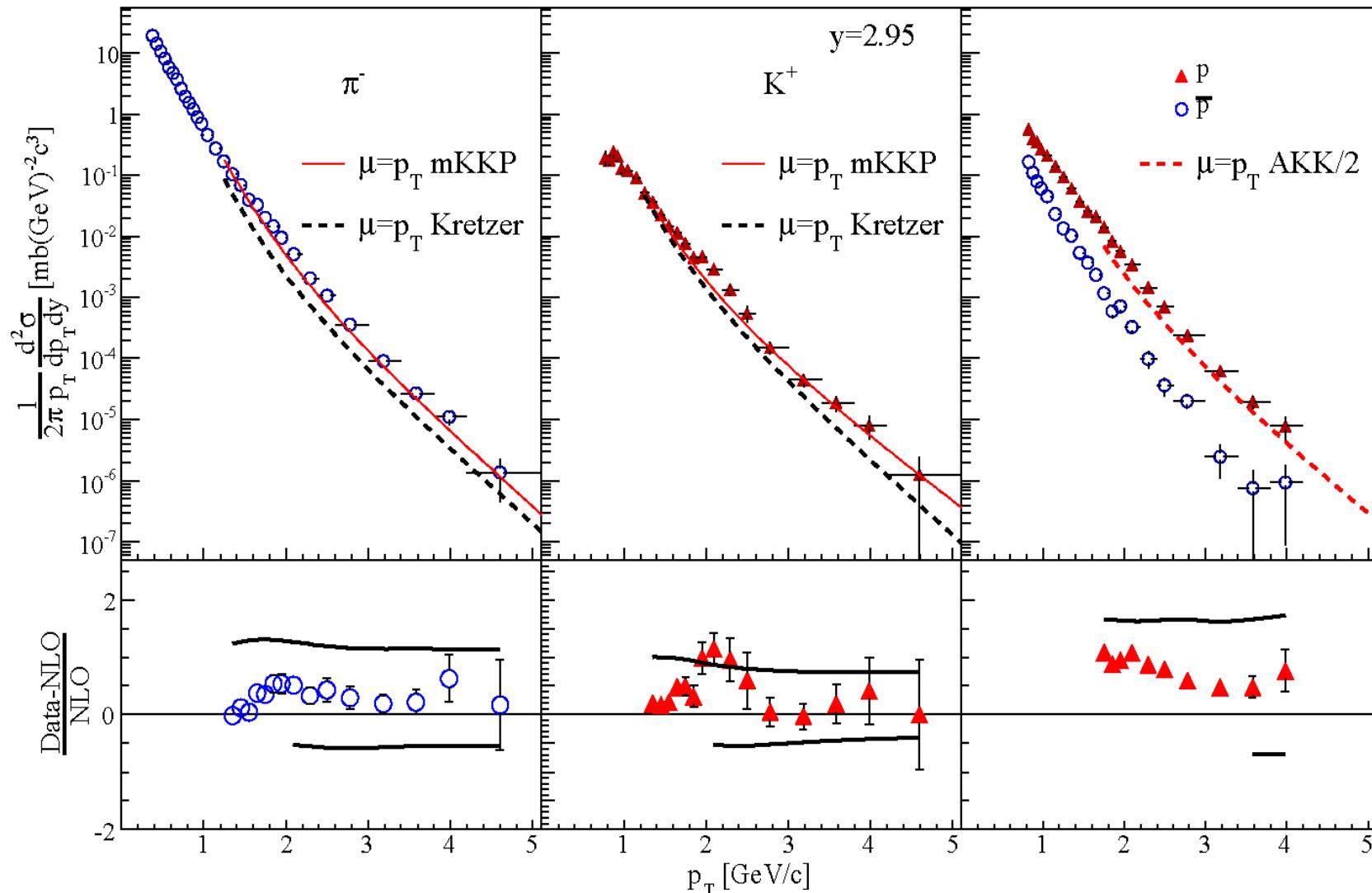
# Extending x coverage and particle type

□ BRAHMS:

Large rapidity p,K,p cross sections for p+p,

$\sqrt{s}=200 \text{ GeV}$

PRL98, 252001 (2007)

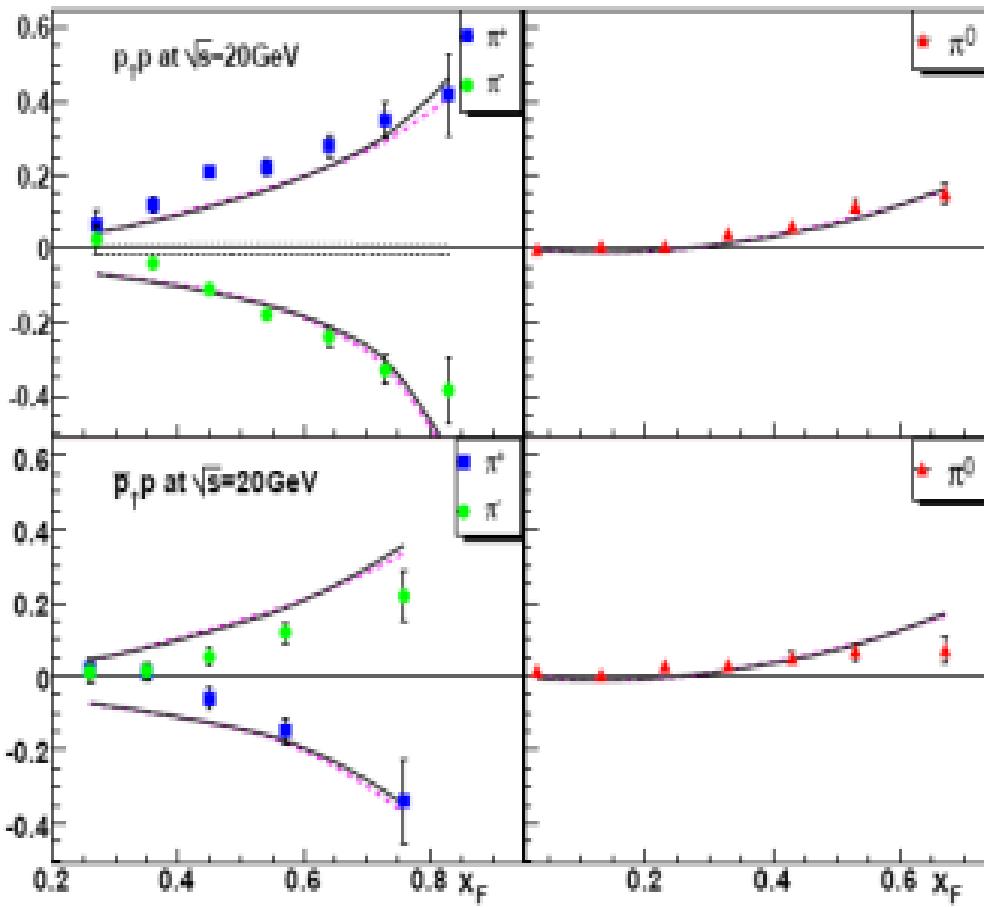


QCD factorization/calculation works at RHIC energies!

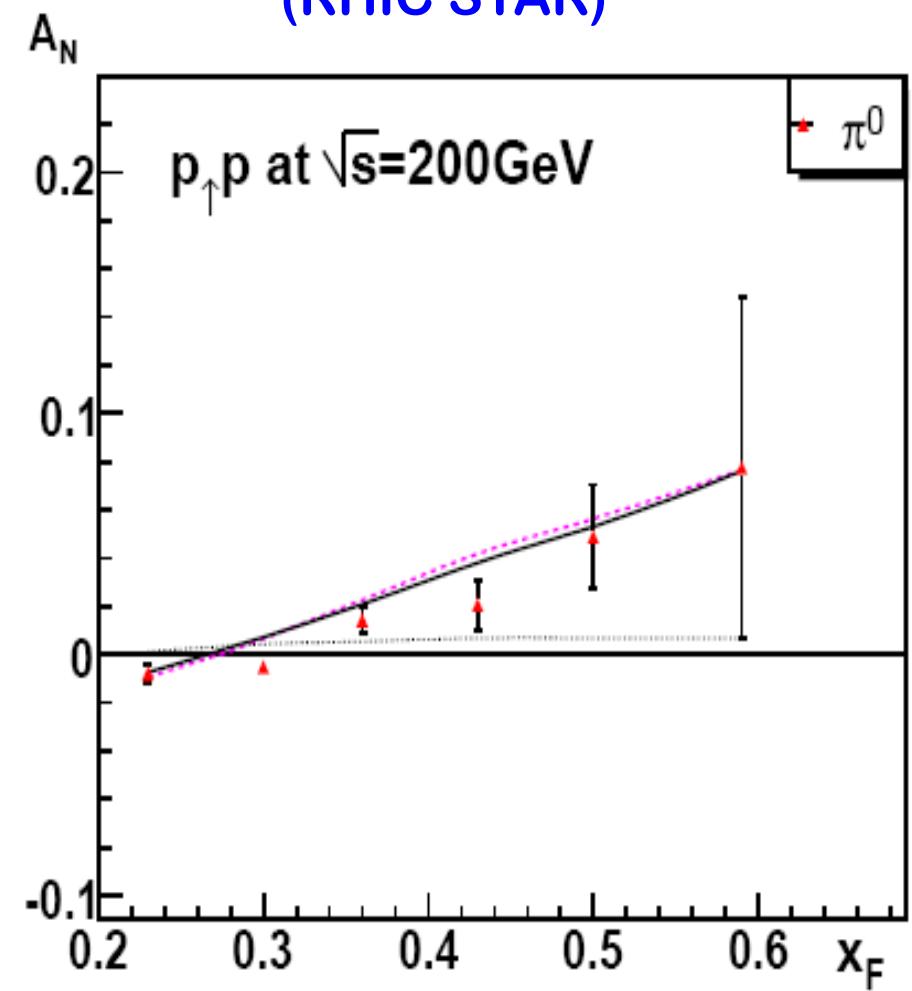
# Asymmetries from the $T_F(x, x)$

- ☐ Lowest order tree-level contribution: Kouvaris, Qiu, Vogelsang, Yuan, 2006

(FermiLab E704)

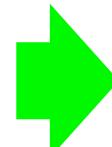


(RHIC STAR)



- ☐  $A_N$  for jet, D, ... production at RHIC and EIC, ...

Nonvanish twist-3 function



Nonvanish transverse motion

# **SSA in the forward region of pA collisions**

**Excellent probe for distinguishing  
various contributions to SSA**

**Excellent probe for studying small-x  
Physics**

**SSA increases as  $x_F$  (or  $y$ ) increases**

# Ideal kinematics for SSA and small-x

Large xF (or y)       Large SSA       Small-x

## □ Leading power predictions – single scale:

$$p(\vec{s}_\perp) + p \rightarrow h(\pi^\pm, \pi^0, \dots) + X$$

$$A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

$$\begin{aligned} A_N &\propto \sigma(p_T, S_\perp) - \sigma(p_T, -S_\perp) \\ &= T_{a/A}^{(3)}(x, x, S_\perp) \otimes \phi_{b/B}(x') \otimes \hat{\sigma}_{ab \rightarrow c}^T \otimes D_{h/c}(z) \\ &+ \delta q_{a/A}(x, S_\perp) \otimes T_{b/B}^{(3\sigma)}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^\phi \otimes D_{h/c}(z) \\ &+ \delta q_{a/A}(x, S_\perp) \otimes \phi_{b/B}(x', x') \otimes \hat{\sigma}_{ab \rightarrow c}^D \otimes D_{h/c}^{(3)}(z, z) \end{aligned}$$

“Sivers effect” + “Collins effect”

nuclear gluon distribution at  $G^A(x)$

Contribution from the 2<sup>nd</sup> term seems to be small!

Kanazawa, Koike, ...

# Power corrections

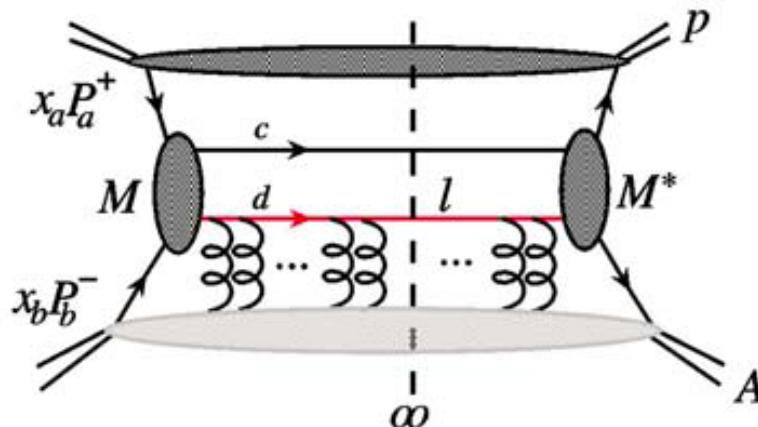
## □ Power corrections:

To both numerator and denominator:  $A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$

## □ Inclusive cross section in the forward region:

Coherent multiple scattering – dominated by t-channel

Qiu, Vitev, 2006



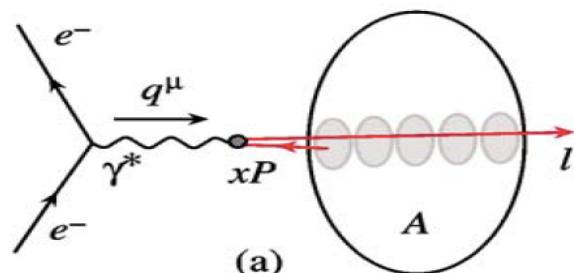
$$\sigma(\ell) = \sigma^{(2)}(\ell) + \left(\frac{Q_s^2}{\ell_T^2}\right) \sigma^{(4)}(\ell) + \left(\frac{Q_s^2}{\ell_T^2}\right)^2 \sigma^{(6)}(\ell) + \dots$$

Size of power correction:

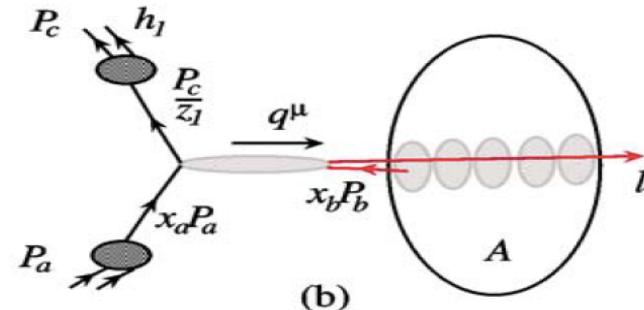
$$\alpha_s \frac{1/\ell_T^2}{R_N^2} \langle F^{+\perp} F^{+\perp} \rangle A^{1/3}$$

# Coherence at small-x

## □ Similarity to DIS:

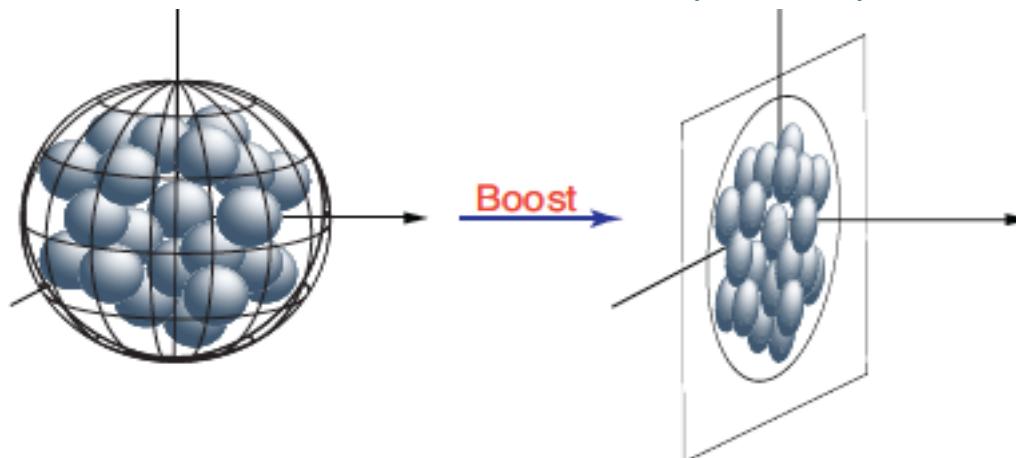


DIS



T-channel of pA

## □ “Snapshot” does not have a “sharp” depth at small $x_B$



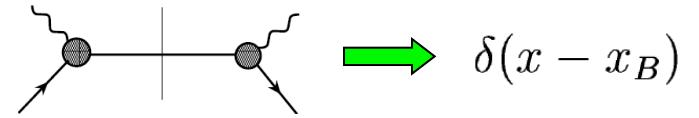
Probe size: **transverse** -  $\frac{1}{Q} \ll 1 \text{ fm}$ , **longitudinal size** -  $\frac{1}{xp} \sim \frac{1}{Q} \ll 1 \text{ fm}$

**Longitudinal size > Lorentz contracted nucleon:**  $\frac{1}{xp} > 2R \frac{m}{p}$

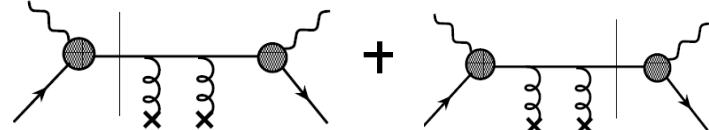
$$x < x_c = \frac{1}{2mR} \sim 0.1$$

# Coherent multiple scattering

□ LO contribution to DIS cross section:



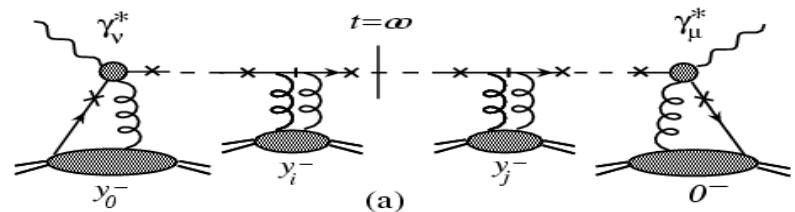
□ NLO contribution:



$$\rightarrow \frac{g^2}{Q^2} \left( \frac{1}{2N_c} \right) \left[ 2\pi^2 \tilde{F}^2(0) \right] x_B \lim_{x_1 \rightarrow x} \left[ \frac{1}{x - x_1} \delta(x_1 - x_B) + \frac{1}{x_1 - x} \delta(x - x_B) \right]$$

$$\underbrace{\int \frac{dy_2^- dy_1^-}{(2\pi)^2} [F^{+\alpha}(y_2^-) F_\alpha^+(y_1^-)] \theta(y_2^-)}_{x_B \left[ -\frac{d}{dx} \delta(x - x_B) \right]}$$

□ Nth order contribution:



$$\left[ \frac{g^2}{Q^2} \left( \frac{1}{2N_c} \right) \left[ 2\pi^2 \tilde{F}^2(0) \right] \right]^N x_B^N \lim_{x_i \rightarrow x} \sum_{m=0}^N \delta(x_m - x_B) \left[ \prod_{i=1}^m \left( \frac{1}{x_{i-1} - x_m} \right) \right] \left[ \prod_{j=1}^{N-m} \left( \frac{1}{x_{m+j} - x_m} \right) \right]$$

Infrared safe!

$$x_B^N \left[ (-1)^N \frac{1}{N!} \frac{d^N}{dx^N} \delta(x - x_B) \right]$$

# Resummation of power corrections

## □ Transverse structure function:

$$F_T(x_B, Q^2) = \sum_{n=0}^N \frac{1}{n!} \left[ \frac{\xi^2}{Q^2} (A^{1/3} - 1) \right]^n x_B^n \frac{d^n}{dx_B^n} F_T^{(0)}(x_B, Q^2)$$

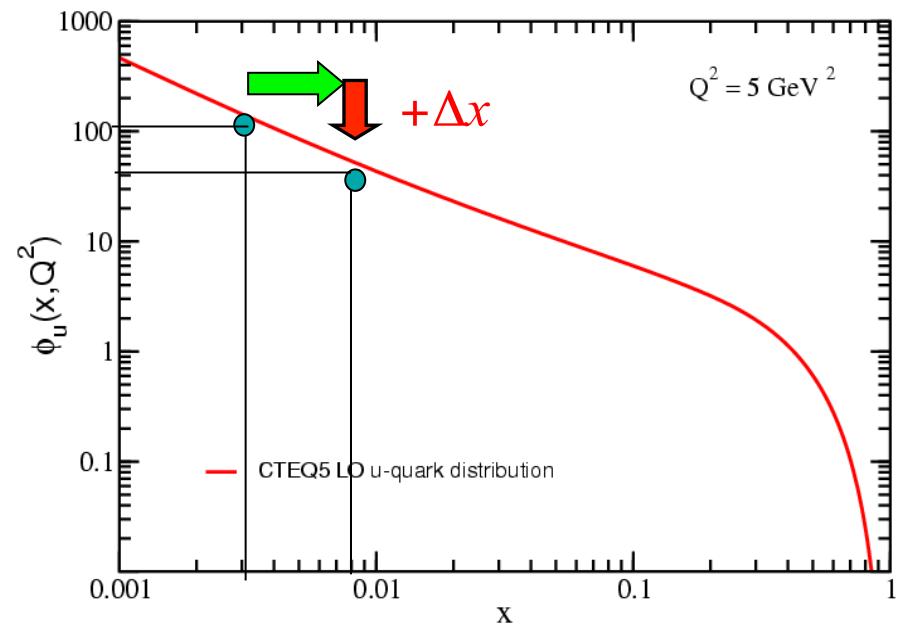
$$\approx F_T^{(0)}(x_B(1 + \Delta), Q^2)$$

$$\Delta \equiv \frac{\xi^2}{Q^2} (A^{1/3} - 1)$$

$$\xi^2 = \frac{3\pi\alpha_s}{8R^2} \langle F^{+\alpha} F_\alpha^+ \rangle$$

Single parameter for the power correction, and is proportional to the same characteristic scale

Qiu and Vitev, PRL (2004)

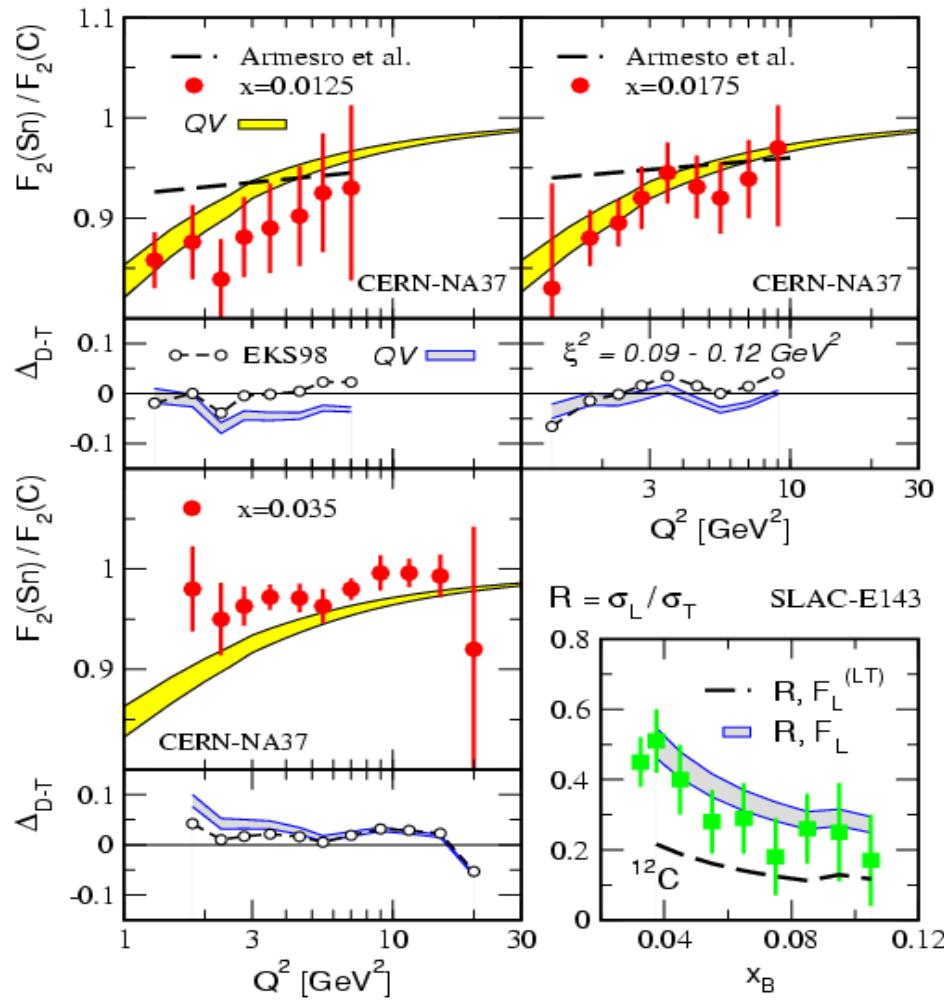


## □ Similar result for longitudinal structure function:

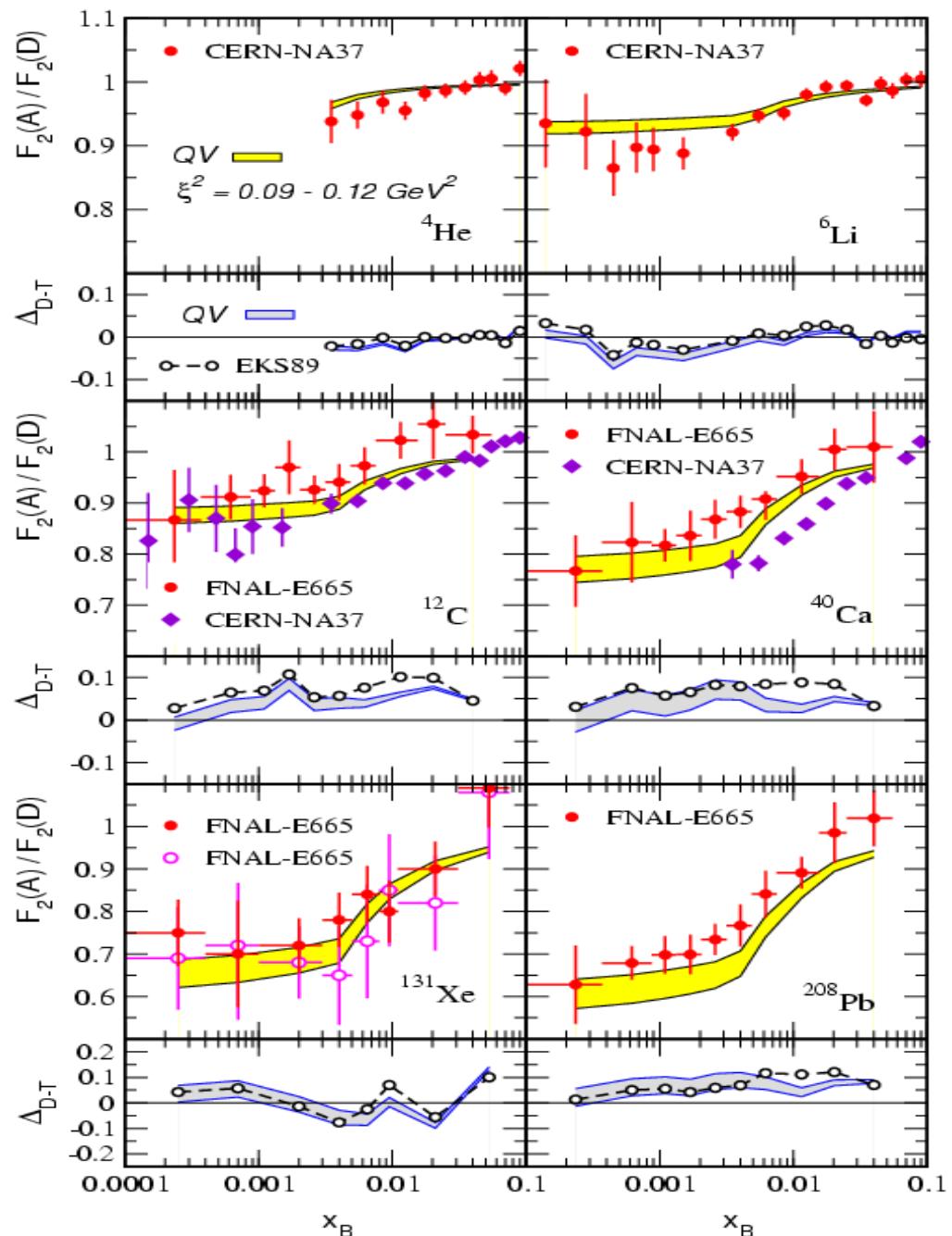
# Power corrections to the shadowing

## □ Largest power corrections:

$$\xi^2 : 0.09 - 0.12 \text{ GeV}^2$$



Qiu, Vitev, 2004



# Leading power corrections in forward pA

## □ Factorized formula:

$$\frac{d\sigma_{NN}^{h_1}}{dy_1 d^2 p_{T_1}} = \sum_{abcd} \int \frac{dz_1}{z_1^2} D_{h_1/c}(z_1) \int dx_a \frac{\phi_{a/N}(x_a)}{x_a} \left[ \frac{1}{x_a S + U/z_1} \right] \frac{\alpha_s^2}{S} \int dx_b \delta(x_b - \bar{x}_b) F_{ab \rightarrow cd}(x_b)$$

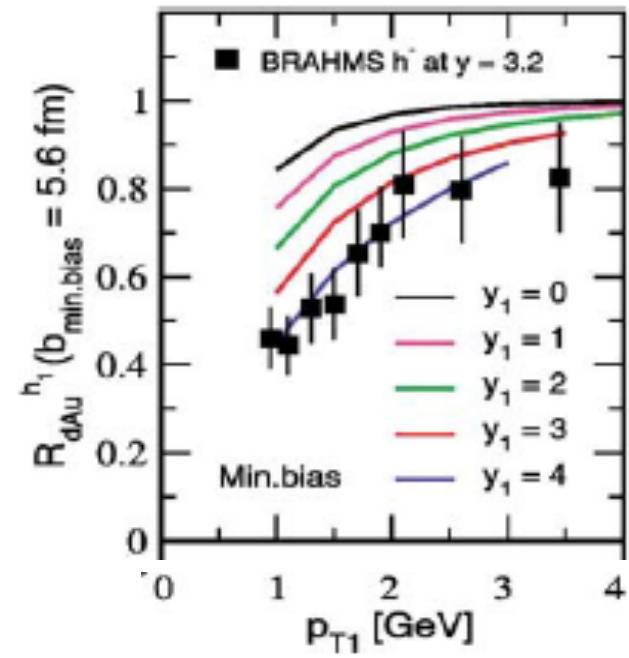
## □ LO proton-proton scattering:

$$F_{ab \rightarrow cd}(x_b) \equiv \frac{\phi_{b/N}(x_b)}{x_b} |\bar{M}_{ab \rightarrow cd}|^2$$

## □ Resummation for pA collisions:

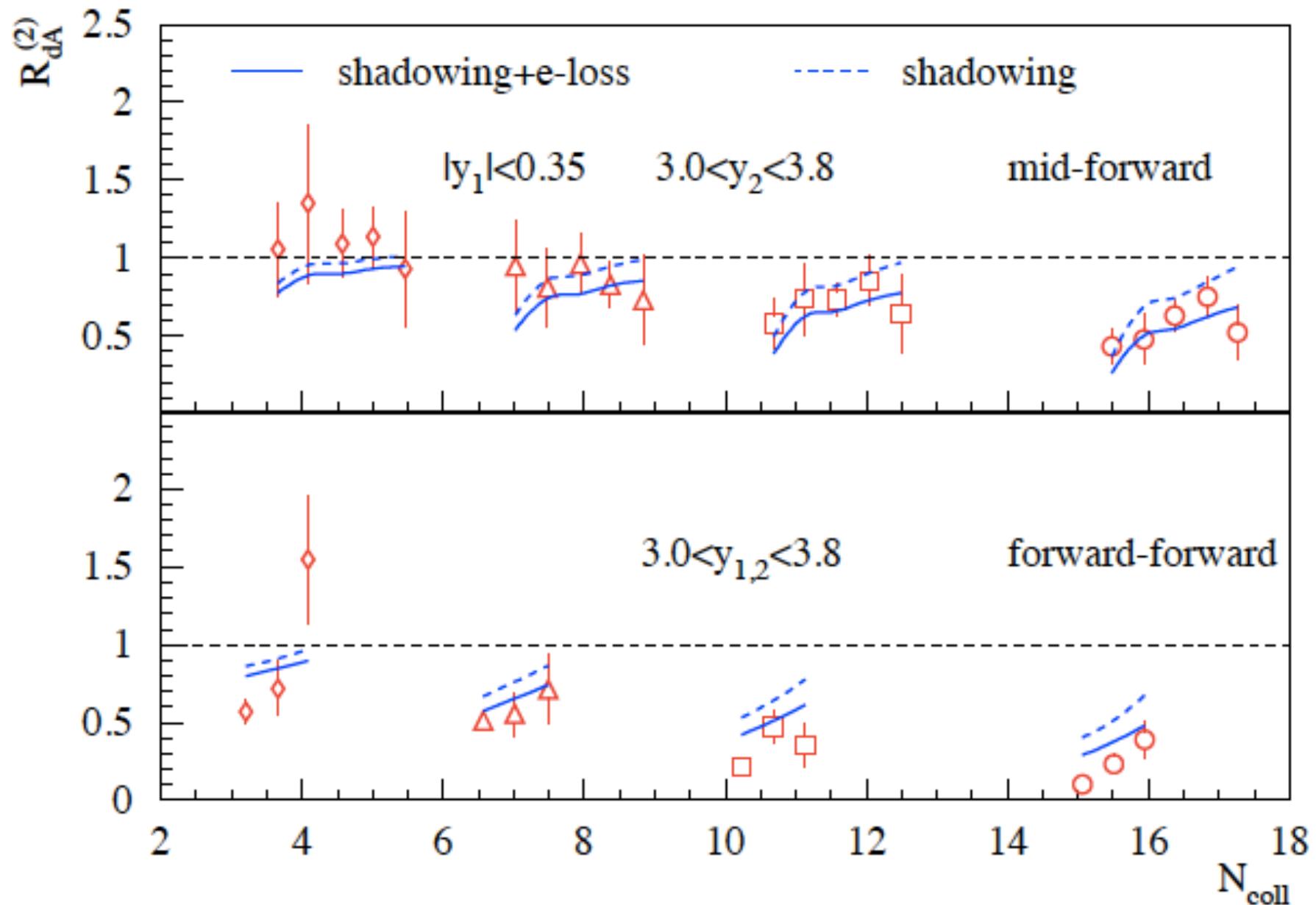
$$\begin{aligned} & \int dx_b \frac{(-1)^N}{N!} \left[ C_d \frac{\bar{x}_b \xi^2}{-t} (A^{1/3} - 1) \right]^N \frac{d^N \delta(x_b - \bar{x}_b)}{dx_b^N} F_{ab \rightarrow cd}(x_b) \\ &= F_{ab \rightarrow cd} \left( x_b \left[ 1 + C_d \frac{\xi^2}{-t} (A^{1/3} - 1) \right] \right). \end{aligned}$$

In principle, no free parameter!



# Di-hadron in d-A collisions

Kang, Vitev, Xing, 2012

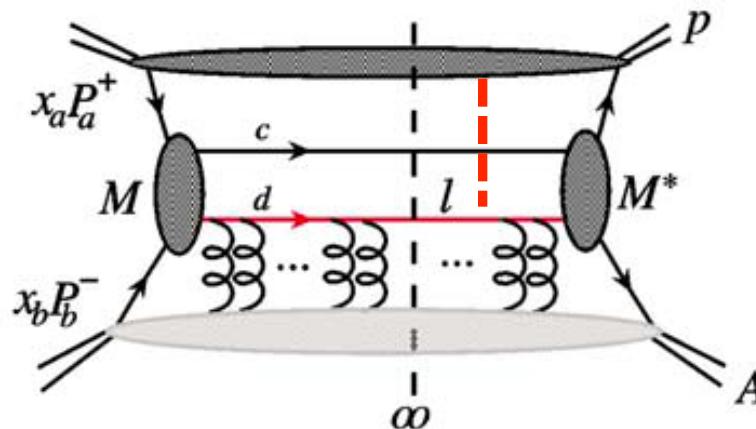


# SSA in pA collisions

□ To numerator:

$$A_N \equiv \frac{\Delta\sigma(\ell, \vec{s})}{\sigma(\ell)} = \frac{\sigma(\ell, \vec{s}) - \sigma(\ell, -\vec{s})}{\sigma(\ell, \vec{s}) + \sigma(\ell, -\vec{s})}$$

□ Leading power approximation:



The momenta of all additional scatterings are fixed by the unpinched poles!

□ Same shift from the coherent multiple scattering:

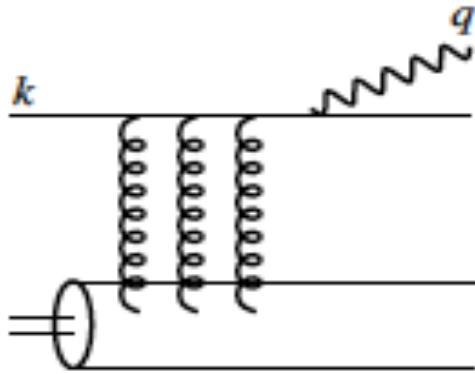
$$A_N(pp) \sim A_N(pA) \quad \text{When} \quad \ell_T^2 \gg Q_s^2$$

Only small difference due to the convolution over  $T_F(x,x)$  vs.  $q(x)$

Note:  $A_N(\text{photon}) \neq 0$ !

# TMD-type approach

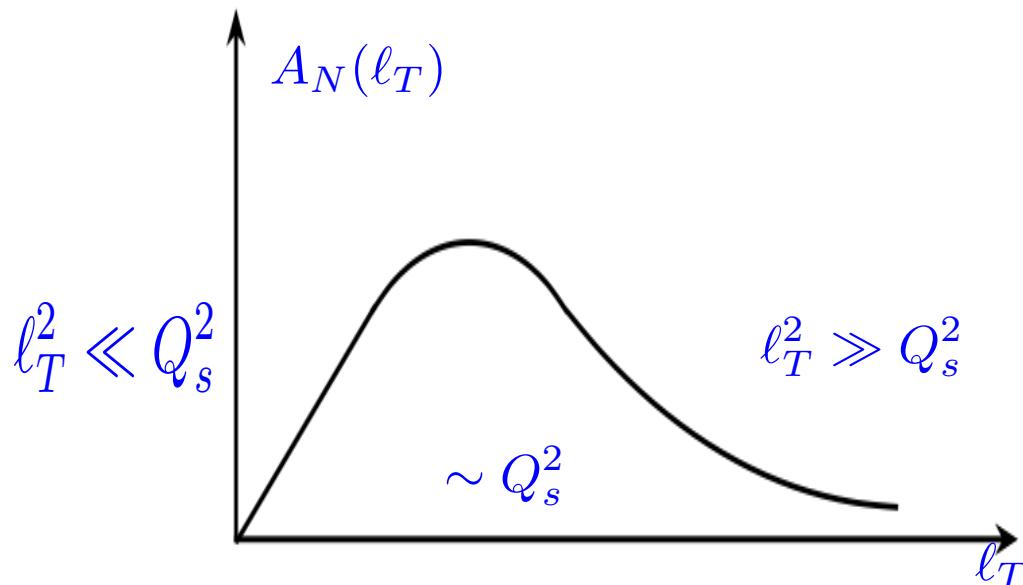
## □ TMD or small- $x$ approach:



No TMD factorization  
for single hadron production

Relevant when  $\ell_T^2 \leq Q_s^2$

## □ Matching:



Recall:

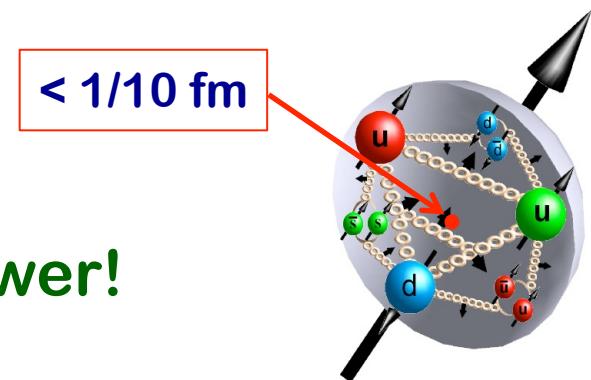
Single hadron spectrum

$$\frac{d\sigma}{d\ell_T^2} \propto \left( \frac{1}{\ell_T^2 + a} \right)^\alpha$$

$$\rightarrow a \propto Q_s^2$$

# Summary

- QCD factorization/calculation have been very successful in interpreting HEP scattering data
- QCD is much richer than the leading power!
- Transverse spin opens a new domain to test QCD dynamics and new observables for parton correlations
- SSA in pA is an excellent observable to study small- $x$  physics in a nucleus



Thank you!

# **Backup slices**

# Scale dependence of Sivers function

Aybat, Collins, Qiu, Rogers, 2011

## □ Kernel is not perturbative for all b:

CSS prescription:  
(not unique)

$$b_* = \frac{b_T}{\sqrt{1 + b_T^2/b_{\max}^2}} \quad \mu_b = \frac{C_1}{b_*}$$

$$\tilde{K}(b_T; \mu) = \tilde{K}(b_*; \mu_b) - \int_{\mu_b}^{\mu} \frac{d\mu'}{\mu'} \gamma_K(g(\mu')) - g_K(b_T)$$

## □ $Q^2$ -dependence of Sivers function:

$$\begin{aligned} \tilde{F}'^{\perp f}_{1T}(x, b_T; \mu, \zeta_F) &= \tilde{F}'^{\perp f}_{1T}(x, b_T; \mu_0, Q_0^2) \exp \left\{ \ln \frac{\sqrt{\zeta_F}}{Q_0} \tilde{K}(b_*; \mu_b) + \int_{\mu_0}^{\mu} \frac{d\mu'}{\mu'} \left[ \gamma_F(g(\mu')) - \ln \frac{\sqrt{\zeta_F}}{\mu'} \gamma_K(g(\mu')) \right] \right. \\ &\quad \left. + \int_{\mu_0}^{\mu_b} \frac{d\mu'}{\mu'} \ln \frac{\sqrt{\zeta_F}}{Q_0} \gamma_K(g(\mu')) - g_K(b_T) \ln \frac{\sqrt{\zeta_F}}{Q_0} \right\} \end{aligned}$$

$$F'^{\perp f}_{1T}(x, k_T; \mu, \zeta_F) = \frac{-1}{2\pi k_T} \int_0^\infty db_T b_T J_1(k_T b_T) \tilde{F}'^{\perp f}_{1T}(x, b_T; \mu, \zeta_F) \quad - \text{Evolved Sivers function}$$

## □ Small-b perturbative contribution – match to twist-3:

$$\begin{aligned} \tilde{F}'^{\perp f}_{1T}(x, b_T; \mu, \zeta_F) &= \sum \frac{M_p b_T}{2} \int_x^1 \frac{d\hat{x}_1 d\hat{x}_2}{\hat{x}_1 \hat{x}_2} \tilde{C}_{f/j}^{\text{Sivers}}(\hat{x}_1, \hat{x}_2, b_*; \mu_b^2, \mu_b, g(\mu_b)) T_{f/j/P}(\hat{x}_1, \hat{x}_2, \mu_b) \\ &\times \exp \left\{ \ln \frac{\sqrt{\zeta_F}}{\mu_b} \tilde{K}(b_*; \mu_b) + \int_{\mu_b}^{\mu} \frac{d\mu'}{\mu'} \left[ \gamma_F(g(\mu')) - \ln \frac{\sqrt{\zeta_F}}{\mu'} \gamma_K(g(\mu')) \right] \right\} \times \exp \left\{ -g_{j/P}^{\text{Sivers}}(x, b_T) - g_K(b_T) \ln \frac{\sqrt{\zeta_F}}{Q_0} \right\} \end{aligned}$$

Kang, Xiao, Yuan, 2011